



Auto-Reply Facsimile Transmission

TO: Fax Sender at 919-254-4330

Fax Information
Date Received: 4/13/2006 2:27:03 PM [Eastern Daylight Time]
Total Pages: 13 (including cover page)

ADVISORY: This is an automatically generated return receipt confirmation of the facsimile transmission received by the Office. Please check to make sure that the number of pages listed as received in Total Pages above matches what was intended to be sent. Applicants are advised to retain this receipt in the unlikely event that proof of this facsimile transmission is necessary. Applicants are also advised to use the certificate of facsimile transmission procedures set forth in 37 CFR 1.8(a) and (b), 37 CFR 1.6(f). Trademark Applicants, also see the Trademark Manual of Examining Procedure (TMEP) section 306 et seq.

Received
Cover
Page

=====>

2006-04-13 14:30		919-254-4330	919-254-4330 >> USPTO	P. 1/13
Facsimile Transmission Form				
To:				
From:				
Message: FAX				
PAGE 1/13 RECEIVED 4/13/2006 2:27:03 PM [Eastern Daylight Time] FROM: 919-254-4330 TO: 919-254-4330 DURATION: 00:01:00				

Transmission Log

919-254-4330

Thursday, 2006-04-13 14:32

919-254-4330

Job #	Date	Time	Length	Speed	Station Name/Number	Type	Pgs	Status
16259	2006-04-13	14:30	2:23	14400	USPTO	SCAN	13	OK -- V.34 AM11

Practitioner's Docket No. UK9-98-048

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: John Martin Flenley

Application No.: 09/209,124
Filed: 12/10/1998

Group No.: 2761
Examiner: J. Hayes

For: FILTER MODULE FOR A TRANSACTION PROCESSING SYSTEM

Mail Stop Petition
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

PETITION TO WITHDRAW HOLDING OF ABANDONMENT

- I hereby petition to withdraw the holding of abandonment in this case, on the basis that applicant timely responded to the August 29, 2000 Notice of Allowability.
- I hereby state:

Applicant paid the issue fee, submitted formal drawings and provided a substitute Declaration and Power of Attorney on November 27, 2000 before the three month statutory period expired.
- A copy of the November 27, 2000 PTO stamped postcard receipt identifying the Issue Fee Transmittal, Declaration and Power of Attorney, and formal drawings is attached along with copies of the fully executed Issue Fee Transmittal, substitute Declaration and Power of Attorney and formal drawings as filed.
- In consideration of these submissions, it is respectfully requested that the holding of abandonment be withdrawn.
- Applicant believes no fee is required for this petition.

Customer Number 25259
IBM Corporation
T81/503
P.O. Box 12195
Research Triangle Park, NC 27709-2195

John Martin Flenley
Jeffrey Ray Yeffkins
Reg. No. 39,808
Phone: (919) 543-2541

CERTIFICATE OF FACSIMILE

I hereby certify on the date shown below, this correspondence is being facsimile transmitted to (571) 273-8300 on April 13, 2006.

Amirah Scarborough
Amirah Scarborough

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: John Martin Flenley

Application No.: 09/209,124
Filed: 12/10/1998

Group No.: 2761
Examiner: J. Hayes

For: FILTER MODULE FOR A TRANSACTION PROCESSING SYSTEM


Mail Stop Petition
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

PETITION TO WITHDRAW HOLDING OF ABANDONMENT

1. I hereby petition to withdraw the holding of abandonment in this case, on the basis that applicant timely responded to the August 29, 2000 Notice of Allowability.
2. I hereby state:

Applicant paid the issue fee, submitted formal drawings and provided a substitute Declaration and Power of Attorney on November 27, 2000 before the three month statutory period expired.
3. A copy of the November 27, 2000 PTO stamped postcard receipt identifying the Issue Fee Transmittal, Declaration and Power of Attorney, and formal drawings is attached along with copies of the fully executed Issue Fee Transmittal, substitute Declaration and Power of Attorney and formal drawings as filed.
4. In consideration of these submissions, it is respectfully requested that the holding of abandonment be withdrawn.
5. Applicant believes no fee is required for this petition.

Customer Number 25259
IBM Corporation
T81/503
P.O. Box 12195
Research Triangle Park, NC 27709-2195


Jeanine Ray-Yarlette
Reg. No. 39,808
Phone: (919) 543-2541

CERTIFICATE OF FACSIMILE

I hereby certify on the date shown below, this correspondence is being facsimile transmitted to (571) 273-8300 on April 13, 2006.


Amirah Scarborough

PLEASE ACKNOWLEDGE AND RETURN

SWS

CERTIFICATE OF EXPRESS MAIL NO. _____

DATE MAILED: Hand Carried

DATE DUE: _____

☒ APPLICATION
☒ DECLARATION (Assignment)
☐ ASSIGNMENT & FORM PTO 1559
☐ DRAWINGS
☐ MISSING PARTS



☐ AMENDMENT & TRANS. FORM
☐ INF. DISCL. & FORM PTO 1449
☐ PET. FOR EXTENSION OF TIME
☐ ISSUE FEE
☐ CERTIFICATE OF MAILING

DOCKET NO. UK9-98-048

SERIAL NO. 09/209,124

FILED: Dec 10, 1998

IN RE APPLICATION OF: Flenley, J. et al.

TITLE OF APPLICATION: Filter Module for a Transaction Processing...

TOTAL PGS. OF SPEC: NO. OF CLAIMS PGS. OF DRAWINGS

TOTAL CHARGES: 120.00 DEPOSIT ACCOUNT NO.: 09-0461

ATTORNEY: BMD

PLEASE ACKNOWLEDGE AND RETURN

SWS

CERTIFICATE OF EXPRESS MAIL NO. _____

DATE MAILED: Hand Carried

DATE DUE: 11/29/2000

☐ APPLICATION
☐ DECLARATION
☒ ASSIGNMENT & FORM PTO 1559
☒ DRAWINGS (5) formal
☐ MISSING PARTS

☐ AMENDMENT **RECEIVED**
☐ INF. DISCL. **Processing Division**
☐ PET. FOR EXTENSION OF TIME
☐ ISSUE FEE
☐ CERTIFICATE OF MAILING

DOCKET NO. UK9-98-048

SERIAL NO. 09/209,124

FILED: Dec. 10, 1998

IN RE APPLICATION OF: Flenley, J. et al.

TITLE OF APPLICATION: Filter Module for a Transaction...

TOTAL PGS. OF SPEC: NO. OF CLAIMS PGS. OF DRAWINGS (5)

TOTAL CHARGES: DEPOSIT ACCOUNT NO.: 09-0461

ATTORNEY: BMD

PART B—ISSUE FEE TRANSMITTAL

Complete and mail this form, together with applicable fees, to:

Box ISSUE FEE
Assistant Commissioner for Patents
Washington, D.C. 20231

RECEIVED SEP 5 2000

MAILING INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE. Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Issue Fee Receipt, the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)

LMCL/6829
GREGORY M DOUDNIKOFF
OSI CORP IP LAW DEPT T81 8062
3039 CORNWALLIS ROAD
P O BOX 12195
RESEARCH TRIANGLE PARK NC 27709-2195

Note: The certificate of mailing below can only be used for domestic mailings of the Issue Fee Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing.

Certificate of Mailing

I hereby certify that this Issue Fee Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Box Issue Fee address above on the date indicated below.

(Depositor's name)

(Signature)

(Date)

APPLICATION NO.	FILING DATE	TOTAL CLAIMS	EXAMINER AND GROUP ART UNIT	DATE MAILED
09/20/98 124	12/10/98	011	HAYES, J	2761 08/29/00
First Named Applicant	35 USC 104(b) term ext. = 0 Days.			
FLENLEY,				

TITLE OF INVENTION
FILTER MODULE FOR A TRANSACTION PROCESSING SYSTEM

ATTY'S DOCKET NO.	CLASS-SUBCLASS	BATCH NO.	APPL. TYPE	SMALL ENTITY	FEE DUE	DATE DUE
2 JPO-98-040	705-043.000	R10	EX111	NO	\$1210.00	11/29/00

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

Use of PTO form(s) and Customer Number are recommended, but not required.

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47) attached.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Gregory M. Doudnikoff

2 _____

3 _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the PTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

International Business Machines Corporation

(B) RESIDENCE: (CITY & STATE OR COUNTRY)

Armonk, New York 10504

Please check the appropriate assignee category indicated below (will not be printed on the patent)

☐ Individual ☒ corporation or other private group entity ☐ government

4a. The following fees are enclosed (make check payable to Commissioner of Patents and Trademarks):

☐ Issue Fee

☐ Advance Order - # of Copies _____

4b. The following fees or deficiency in these fees should be charged to:

DEPOSIT ACCOUNT NUMBER 09-0461

(ENCLOSE AN EXTRA COPY OF THIS FORM)

☒ Issue Fee

☐ Advance Order - # of Copies _____

The COMMISSIONER OF PATENTS AND TRADEMARKS IS requested to apply the Issue Fee to the application identified above.

(Authorized Signature)

(Date)

11/1/00

NOTE: The Issue Fee will not be accepted from anyone other than the applicant, a registered attorney or agent, or the assignee or other party in interest as shown by the records of the Patent and Trademark Office.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending on the needs of the individual case. Any comments on the amount of time required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND FEES AND THIS FORM TO: Box Issue Fee, Assistant Commissioner for Patents, Washington D.C. 20231

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMIT THIS FORM BY FIRST CLASS MAIL

In the United States Patent and Trademark Office

Date: Oct. 16, 2000

In re Application of: Flenley, J. et al.

Filed: Dec. 10, 1998

For: Filter Module for A Transaction Processing System

Art Unit: 2761

Examiner: Hayes, J.

Date of Mailing of "Notice of Allowance and Base Issue Fee Due": August 29, 2000

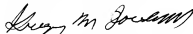
Issue Batch Number: R48

Hon. Commissioner of Patents and Trademarks
Washington, DC 20231

TRANSMITTAL OF FORMAL DRAWINGS

Attached please find formal drawings for the above-identified application.

Respectfully submitted,



Gregory M. Doudnikoff

Attorney of Record, Reg. No.: 32,847

IBM Corporation, IP Law T81/503
P.O. Box 12195
Research Triangle Park, NC 27709

Telephone: 919-254-1288

FAX (919) 254 - 4330

Hand Carried

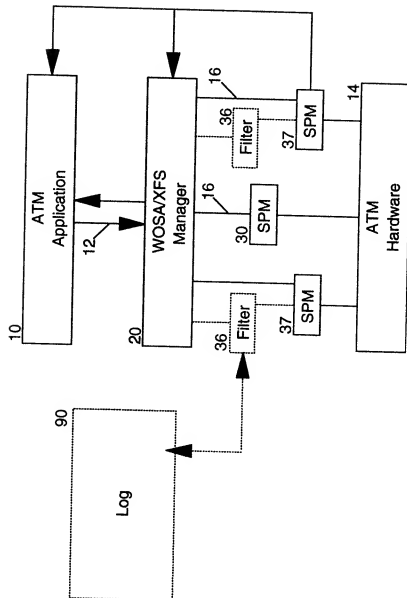


FIG. 1

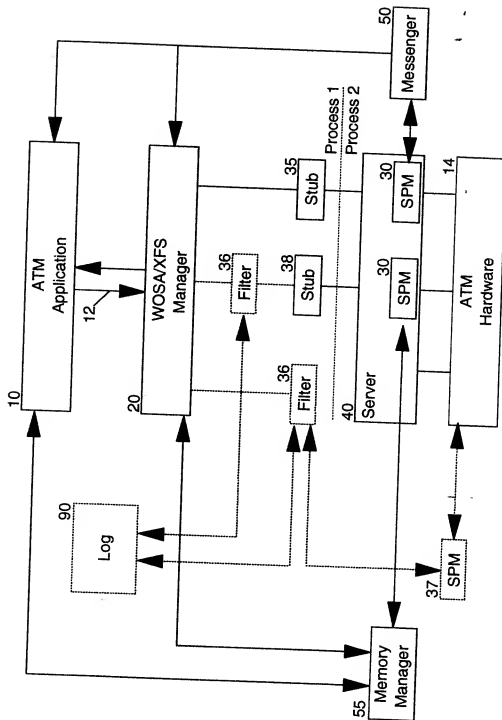


FIG. 2

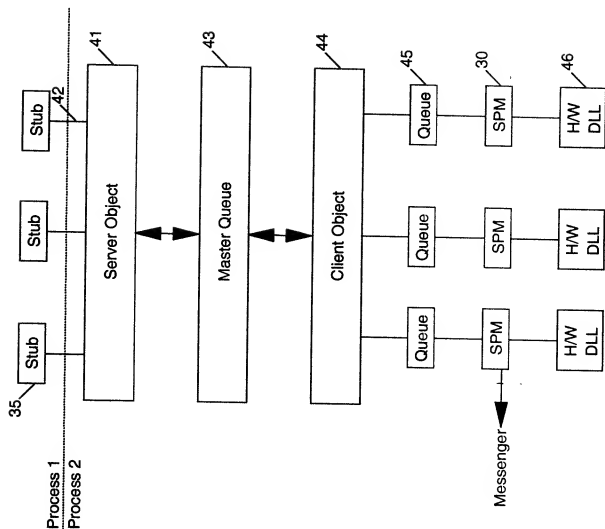


FIG. 3

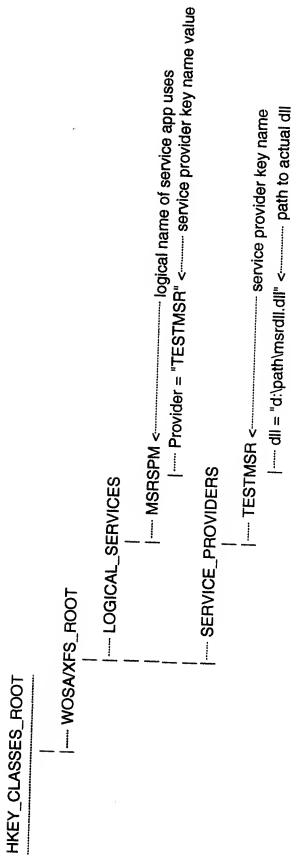


FIG. 4

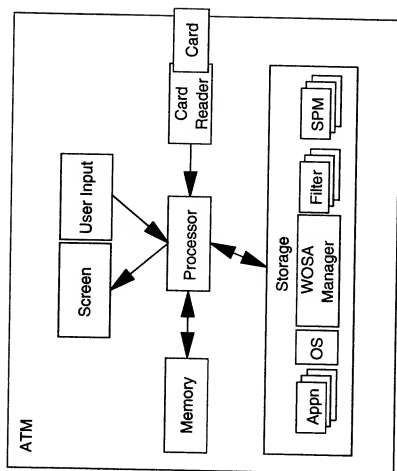


FIG. 5

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re application of	: October 26, 2000
Flenley, J. et al	: IBM Corp. - 972/B503-3
Serial No. 09/209,124	: PO Box 12195
Filed: Dec. 10, 1998	: Res. Tri. Park,
For: A Filter Module for a	: North Carolina 27709
Transaction Processing System :	

Letter

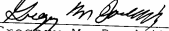
Commissioner of Patents & Trademarks
Washington, D. C. 20231

Sir:

In response to the Examiner's request in the Detailed Action included in the Notice of Allowance, dated August 29, 2000, regarding an incorrect Declaration for Patent Application 09/209,124 filed Dec 10, 1998. As requested we are sending the newly signed and corrected Declaration.

There should be no additional charge for this action.

Respectfully submitted,

By 
Gregory M. Doudnikoff
Reg. No. 32,847

GMD/ld
Enclosure

IBM Docket Number:UK9-98-048

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

A Filter Module for a Transaction Processing System

the specification of which is identified by the attorney (IBM) Docket Number appearing above.

I hereby state that I have reviewed and understand the contents of the above- identified specification, including the claims.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

<u>Number</u>	<u>Country</u>	<u>Day/Month/Year</u>	<u>Priority Claimed</u>
9809827	United Kingdom	9 May 1998	Yes

I hereby claim the benefit (a) under Title 35, United States Code, §119(e) of any U.S. application listed below and identified as a provisional application or (b) under Title 35, United States Code, §120 of any U.S. application listed below and not identified as a provisional application, and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior U.S. application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information material to the patentability of this application as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application

Prior U.S. Applications

<u>Serial No.</u>	<u>Filing Date</u>	<u>Status</u>
-------------------	--------------------	---------------

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

As a named inventor, I hereby appoint the following attorneys and/or agents to prosecute this application and

transact all business in the Patent and Trademark Office connected therewith:

Bruce A. Clay, Reg. No. 32,121; Gregory M. Doudnikoff, Reg. No. 32,847; Edward H. Duffield, Reg. No. 25,970; Jerry W. Herndon, Reg. No. 27,901; Gerald R. Woods, Reg. No. 24,144; Jeanine S. Ray-Yarletts, Reg. No. 39,808; Joseph C. Redmond, Jr., Reg. No. 18,753; John E. Hoel, Reg. No. 26,279; Christopher A. Hughes, Reg. No. 26,914; and Edward A. Pennington, Reg. No. 32,588;

AND also

Send all correspondence to: Gregory M. Doudnikoff, IBM Corporation T81/062; PO Box 12195; Research Triangle Park, NC 27709.

First Inventor: John Martin Flehley

Signature: 

Date

29/8/00

Residence: 25 Duncton Road, Clanfield, Waterlooville, Hampshire PO8 0YR, United Kingdom.

Citizenship: British

Post Office Address: Same as above.

Second Inventor: Georges Joseph Gregory Riche

Signature: 

Date

17/10/00

Residence: Apt 2609, 88 Erskine Avenue,
Toronto, Ontario, Canada M4P
1Y3

Citizenship: Canadian *citizen*

Post Office Address: Same as above